

FORM PTO-1390
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

1139 P 080

U.S. APPLICATION NO. (if known, see 37 CFR 1.52)

09/744618

INTERNATIONAL APPLICATION NO.

PCT/US99/17335

INTERNATIONAL FILING DATE

07/30/99

PRIORITY DATE CLAIMED

7/31/98 (US Prov 60/094,912)

TITLE OF INVENTION

PACKAGING AND RESOURCE MANAGMENT SYSTEM

APPLICANT(S) FOR DO/EO/US

William H. Bruan, Stephen Kaufman, Mark Bruce, Robert Lambert, Bruce Miller and

Robert
Zeigler

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English lanaguge translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:


11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
PCT as published.



26963

PATENT TRADEMARK OFFICE

204460-87944260

U.S. APPLICATION NO. 09/749318 INTERNATIONAL APPLICATION NO. PCT/US99/17335		ATTORNEY'S DOCKET NUMBER 1139 P 080	
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$1000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =		CALCULATIONS PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$ 860	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	97 - 20 =	77	x \$18.00
Independent claims	6 - 3 =	3	x \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable) 1		+ \$270.00	\$ 270
TOTAL OF ABOVE CALCULATIONS =		\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$	
SUBTOTAL =		\$ 2756	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$	
TOTAL NATIONAL FEE =		\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$	
TOTAL FEES ENCLOSED =		\$ 2756	
		Amount to be refunded:	\$
		charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>2756</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0280</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.			
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.			
SEND ALL CORRESPONDENCE TO: James P. Muraff WALLENSTEIN & WAGNER, LTD. 311 South Wacker Drive, 53rd Floor Chicago, Illinois 60606 Phone: 312.554.3300 Fax: 312.554.3301			
		SIGNATURE  James P. Muraff NAME 39,785 REGISTRATION NUMBER	

09749318-090404



26969/744618

PATENT TRADEMARK OFFICE

2008 Rec'd PCT/PTO 26 JAN 2001

EXPRESS MAIL CERTIFICATION**In re:** U.S. National § 371 application of: SCHAWK, INC.**Attorney Docket No:** 1139 P 080**Inventors:** William H. Braun, Stephen Kaufman, Mark Bruce, Robert Lambert,
Bruce Miller and Robert Zeigler**For:** PACKAGING AND RESOURCE MANAGEMENT SYSTEM****THIS APPLICATION CLAIMS PRIORITY FROM
U.S. Provisional Application No. 60/094,912; Filed: July 31, 1998**

- ENCLOSED:**
1. Postcard;
 2. Check in The Amount of \$2756;
 3. Express Mail Certification;
 5. 2-page Transmittal Letter to U.S. Designated/Elected Office;
 6. 53-page Patent Application (Including 97 Claims);
 7. 66 Sheets of Informal Drawings (Figures 1-78); and
 8. PCT Application No: PCT/US99/17335 as published.

I HEREBY CERTIFY THAT THIS PAPER AND THE ABOVE DOCUMENTS ARE BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS MAIL, POSTAGE PREPAID, IN AN ENVELOPE ADDRESSED TO BOX PCT (US NATIONAL APPLICATION/WITH FEE), COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231, ON January 26, 2001 UNDER EXPRESS MAIL NO. EL 626159705US.

Sharon K. Boswell

PLEASE ADDRESS ALL FUTURE COMMUNICATIONS TO:

**James P. Muraff
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Submission to Enter National
Phase Under 35 US 371